

COMMON APPLICATION FORM

(To be Used / Distributed along with Scheme Information Document)

Investors must read the Key Information Memorandum, Instructions and Product Labeling before completing this Form. Please read the instructions before filling up the Application Form. Tick () whichever is applicable, strike out whichever is not required.



Application No.

Please Note: All field marked with asterisk (*) to be mandatory

1. DISTRIBUTOR INFORMATION*

ARN code	RIA / PMRN code**	ARN / RIA / PM Name	Sub broker ARN code	Sub broker code	EUIN*
ARN - 167174	RIA/PMRN -		ARN -		E038800

** By mentioning RIA/PMRN code, I/We authorize you to share with the Investment Adviser / Portfolio Manager the details of my/our transactions in the scheme(s) of WhiteOak Capital Mutual Fund. (Please if applicable) In case the EUIN box has been left blank, please refer to the point related to EUIN in the Declaration & Signatures section overleaf. Upfront commission "if any applicable" shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

2. TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY* (Please any one of the below) (Refer instruction no. 2)

☐ I confirm that I am a First time investor in Mutual Funds. OR ☐ I confirm that I am an existing investor in Mutual Funds.

Go Green Initiative

- ☐ Opt-in - Physical
☐ Opt-out - Email
Refer instruction no. 12

3. FOLIO NUMBER

The details in our records under the folio number mentioned alongside will apply for this application.

4. LEGAL ENTITY IDENTIFIER NUMBER (20 Digit)

5. MODE OF HOLDING

Single ☐ Joint ☐ Anyone or Survivor ☐

(Default option is Joint)

6. DEMAT ACCOUNT DETAILS (Kindly fill the below details for allotment of units in demat)

National Securities Depository Limited				Central Depository Services (India) Limited			
Depository Participant Name				Depository Participant Name			
DP IDIN		Beneficiary A/c No.		Beneficiary A/c No.			

7. SOLE / FIRST APPLICANT'S DETAILS* (In case of Minor, there shall be no joint holders) [Name and DOB shall be as per PAN Card]

Name* Mr. Ms. M/s.		Gender*	(Please) <input type="checkbox"/> Male <input type="checkbox"/> Female
Date of Birth / Incorporation* DD MM YYYY	Proof of DOB of Minor enclosed (Please) PAN/PEKRN* KYC Proof <input type="checkbox"/> Passport <input type="checkbox"/> Birth Certificate <input type="checkbox"/> Other	please specify	
Attached* KYC / KIN Guardian Name (in case of Minor) / POA (Contact Person For Non Individuals / POA Holder Name)*			
Relationship with Investor (in case of Minor)			
PAN/PEKRN* KYC Proof Attached* KYC / KIN Mailing Address [P. O. Box Address is not sufficient]			
City			
Pincode*	State	Country	
Phone (Off.) STD-	Phone (Res.)	Fax No.	Mob. No. *\$
Email ID*	Please confirm that the email id belongs to <input type="checkbox"/> Self <input type="checkbox"/> Family Member		

(* \$ The first/sole holder's own email address and mobile number should be provided for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions.)

Overseas Address* (in case of NRI/ FIH applicant, in addition to mailing address)

State	Country	Zip Code*
Status: Individual <input type="checkbox"/> NRI-Repatriation <input type="checkbox"/> NRI-Non Repatriation <input type="checkbox"/> Partnership <input type="checkbox"/> (Mandatory, Please) Minor through guardian <input type="checkbox"/> Company <input type="checkbox"/> FII <input type="checkbox"/> PIO <input type="checkbox"/> Non Profit Organisation <input type="checkbox"/> Financial Institution <input type="checkbox"/> NBFC <input type="checkbox"/> Bank <input type="checkbox"/>		
Occupation: Private Sector <input type="checkbox"/> Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Government <input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> (Mandatory, Please) Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please specify) <input type="checkbox"/>		
Gross Annual Income: Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> >25 Lacs <input type="checkbox"/> OR Net worth* (for Non-Individuals) ` as on DD MM YYYY (Not older than 1 year) <input type="checkbox"/>		
For Individuals [Please] I am Politically Exposed Person (PEP) <input type="checkbox"/> I am Related to Politically Exposed Person (RPEP) <input type="checkbox"/> Is the Individual involved in any of the mentioned services: (Please as appropriate) (i) Foreign Exchange / Money Changer Services <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> (ii) Gaming / Gambling / Lottery / Casino Services <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> For Non-Individuals, Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form (iii) Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>		



ACKNOWLEDGMENT SLIP (To be filled in by the investor)

Application No.:

Name PAN NO.	
An Application for scheme WhiteOak Capital	
Along with Cheque / DD No. / UTR No. Dated	DD MM YYYY
Drawn on (Bank) Amount `	

Signature, Stamp & Date

TOLL FREE NUMBER: 1800 3000 3060 | EMAIL: clientservice@whiteoakamc.com | WEBSITE: https://mf.whiteoakamc.com

Name* Mr. Ms. M/s.										Gender* (please) <input type="checkbox"/> Male <input type="checkbox"/> Female									
Date of Birth*DDMMYY										<input type="checkbox"/> Proof of DOB(please) <input type="checkbox"/> Passport <input type="checkbox"/> Birth CertificateOther <u>please specify</u>									
PAN/PEKRN* <input type="checkbox"/> KYC <input type="checkbox"/> Proof Attached*										CKYC / KIN									
Pincode*					Phone (Off.)										Mobile No.				
Phone (Res)										Email ID									
Status: Resident Individual <input type="checkbox"/> NRI-Repatriation <input type="checkbox"/> NRI-Non Repatriation <input type="checkbox"/> Partnership <input type="checkbox"/> (Mandatory, Please Minor through guardianCompanyFilsPIO)										<input type="checkbox"/> Trust <input type="checkbox"/> HUF <input type="checkbox"/> AOP <input type="checkbox"/> Body Corporate <input type="checkbox"/> Society/Club <input type="checkbox"/> Sole Proprietorship									
Non Profit Organisation <input type="checkbox"/> Financial Institution <input type="checkbox"/> NBFC <input type="checkbox"/> Bank <input type="checkbox"/>										<input type="checkbox"/> Others (please specify)									
Occupation: Private Sector <input type="checkbox"/> Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Service <input type="checkbox"/> Government <input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> (Mandatory, Please)										<input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please specify)									
Gross Annual Income: Below <input type="checkbox"/> 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> (Mandatory, Please)										<input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore <input type="checkbox"/> as on DDMMYY (Not older than 1 year)									
OR Net worth* (for Non-Individuals)																			
For Individuals (Please) I am <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> I am Related to <input type="checkbox"/> Politically Exposed Person (RPEP)										<input type="checkbox"/> Not applicable (^Please refer instruction 6)									
Is the Individual involved in any of the mentioned services:(Please as appropriate) (i) Foreign Exchange / Money Changer Services <input type="checkbox"/> Yes <input type="checkbox"/> No (ii) Gaming / Gambling / Lottery / Casino Services <input type="checkbox"/> Yes <input type="checkbox"/> No										(iii) Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No									

Name* Mr. Ms. M/s.										Gender* (please) <input type="checkbox"/> Male <input type="checkbox"/> Female																													
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PAN/PEKRN* KYCProof Attached*										CKYC / KIN																													
Pincode*										Phone (Off.)										Mobile No.																			
Phone (Res)										Email ID																													
Status: Resident IndividualNRI-RepatriationNRI-Non RepatriationPartnership (Mandatory, Please Minor through guardianCompanyFIIsPIO)																				<input type="checkbox"/> Trust <input type="checkbox"/> HUF <input type="checkbox"/> AOP <input type="checkbox"/> Body Corporate <input type="checkbox"/> Society/Club <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Others (please specify)																			
Non Profit OrganisationFinancial InstitutionNBFCBank																				<input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> HousewifeStudentForex DealerOthers (Please specify)																			
Occupation: Private SectorServicePublic Sector ServiceGovernment ServiceBusiness (Mandatory, Please)																				<input type="checkbox"/> Gross Annual Income:Below 1 Lac1-5 Lacs5-10 Lacs10-25 Lacs (Mandatory, Please) OR Net worth* (for Non-Individuals) `																			
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For Individuals [Please]:I am Politically Exposed Person (PEP)*I am Related to Politically Exposed Person (RPEP)																				<input type="checkbox"/> Instruction 6)																			
Is the Individual involved in any of the mentioned services:(Please as appropriate)																																							
(i) Foreign Exchange / Money Changer ServicesYesNo																				(ii) Gaming / Gambling / Lottery / Casino ServicesYesNo																			
(iii) Money Lending / PawningYesNo																																							

Name of the Bank										Branch																													
Account No.										Account Type										Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> Others <input type="text"/>																			
Bank Address																																							
Pincode										City										State																			
MICR Code (9 digits)										IFSC Code for NEFT / RTGS										This is an 11 Digit Number, kindly obtain it from your cheque copy or Bank Branch																			

(2)

9. INVESTMENT & PAYMENT DETAILS* The name of the first/ sole applicant must be pre-printed on the cheque.

Scheme Name:

WhiteOak Capital

IDCW Frequency:

(Please refer to SID for the IDCW Frequency & Option)

Plan:DirectRegularOption: Growth#

Option: IDCWIDCW (#Default)

PayoutIDCWReinvestment

(*Please refer instruction 7)

Mode of Payment: Lumpsum Only

Normal SIP*

Goal SIP\$

Payment Type [Please]Third Party Payment (Please attach 'Third Party Payment Declaration Form') (Please refer instruction 7)

Amount of Cheque / DD / Payment Instrument / RTGS/ NEFT in figures (₹)

Cheque / DD / Payment Instrument No. & Date

Drawn on Bank / Branch

SIP Instalment Amount (₹) in figures

Cheque/DD No. Date

D

D

M

M

Y

Y

Y

Y

Drawn on Bank

* If you wish to register SIP/ SIP TopUp facility kindly fill the SIP Registration & OTM Debit Mandate Form. \$ If you wish to register for Goal SIP, kindly fill the Goal SIP Registration & OTM Debit Mandate Form

10. FATCA AND CRS INFORMATION* (for Individual including Sole Proprietor) (Self Certification) (For Non - Individual separate form to be submitted)

The below information is required for all applicant(s)/ guardian

Address Type:Residential or BusinessResidentialBusinessRegistered Office

Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India?YesNo

If Yes, please provide the following information [Mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below

Category	First Applicant (including Minor)	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency*			
Tax Payer Ref. ID No^			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 2			
Tax Payer Ref. ID No. 2			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 3			
Tax Payer Ref. ID No. 3			
Identification Type [TIN or other, please specify]			
If TIN is not available, Please tick			
To also include USA, where the individual is a citizen/ green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent.			<div><div>Reason A: The country where the Account holder is liable to pay tax does not issue Tax Identification Number to its residents.</div><div>Reason B: No TIN required. (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected)</div><div>Reason C: Other, please state the reason therefore</div></div> <div><div>A</div><div>B</div><div>C</div></div>

For Non-Individual investors, please fill in UBO form along with FATCA / CRS annexure

11. NOMINATION DETAILS* (To be filled in by individuals singly or jointly. Mandatory only for Investors who opt to hold units in Non-Demat)

☒ We do not wish to nominate OR ☐ I/We do hereby nominate the undermentioned Nominee(s) to receive the Units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payment and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees.

Nominee Details*	Nominee 1	Nominee 2	Nominee 3
Name			
Address			
PAN			
Date of Birth			
Relationship			
Proportion (%)*			
Name and Address of Guardian (to be furnished in case the nominee is minor)			
Signature of Guardian / Nominee			

*(%) by which the units will be shared by each nominee (% to aggregate to 100%)

12. DECLARATION AND SIGNATURES*

I/We hereby confirm and declare as under:- I/We have read and understood the contents of the Statement of Additional Information of WhiteOak Capital Mutual Fund and the Scheme Information Document(s)/Key Information memorandum of the respective Scheme(s) and Addenda thereto, issued from time to time and the Instructions. I/We, hereby apply to the Trustee of WhiteOak Capital Mutual Fund for allotment of units of the

Scheme(s) of WhiteOak Capital Mutual Fund, as indicated above and agree to abide by the terms, conditions, rules and regulations of the relevant Scheme(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/We declare that I am/We are authorised to make this investment and the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme(s) is/are being recommended to me/us. I/We declare that the information given in this application form is correct, complete and truly stated. In the event of my/our not fulfilling the KYC process to the satisfaction of the AMC/WhiteOak Capital Mutual Fund, I/We hereby authorise the AMC/WhiteOak Capital Mutual Fund to redeem the units against the funds invested by me/us at the applicable NAV as on the date of such redemption. I/We agree that WhiteOak Capital Mutual Fund can debit from my Folio Transaction Charges as applicable. I/We agree to notify WhiteOak Capital Asset Management Limited immediately in the event the information in the self-certification changes. For investors investing in Direct Plan: I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product/scheme/plan. Applicable to Micro Investors: I/We hereby declare that I/We do not have any existing Micro investments which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. Applicable to NRIs: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account / FCNR Account (s). FATCA and CRS Declaration: I/We hereby acknowledge and confirm that the information provided in this form is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We shall be liable for it. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees ('the Authorised Parties') or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax /revenue authorities and other investigation agencies without any obligation of advising me/us of the same.

Please the EUIN space is left blank: I / We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature(s)

1st Applicant Signature / Guardian Signature	2nd Applicant Signature	3rd Applicant Signature	POA Signature
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Date

D	D	M	M	Y	Y	Y	Y
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Place

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13. CHECKLIST

Application Form is complete in all respect. Name, address & contact details are mentioned in full and signed by all applicants.

☐ Bank account details stated are complete and correct. In case investment cheque is from a different bank account, original cancelled cheque copy is attached.

Permanent Account Number (PAN) for all applicants as applicable is mentioned.

Preferred investment option i.e. whether Growth or Payout of IDCW or Re-investment of IDCW is mentioned clearly.

The cheque / demand draft should be drawn favouring the name of the scheme & crossed as "Account Payee Only", dated and duly signed.

Application Number / Folio Number and Applicant's name and / or PAN is mentioned on the reverse of each cheque.

☐ SIP Registration Form & OTM Debit Mandate is filled and attached in case of SIP Investments.

☐ Demat A/c details are filled correctly in the form. Please provide self attested Client Master list Copy (where applicable).

☐ Details of applicants provided matches exactly with those in the Depository.

☐ FATCA Declaration

Documents Individuals Companies Societies Partnership Firms Investments through FOA Trust NRI

									FII(s)/FPI	Sole Proprietor	Minor
Resolution / Authorisation to Invest									<input type="checkbox"/>		
Trust Deed											
Bye - Laws											
Partnership Deed											
SEBI Registration / Designated Depository Participant Registration Certificate									<input type="checkbox"/>		
Proof of Date of birth											
Notarised Power of Attorney											<input type="checkbox"/>
Foreign Inward Remittance Certificate, in case payment is made by DD from NRE / FCNR a/c, where applicable											
KYC Acknowledgement											
Demat Account Details (Client Master List Copy)3									<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FATCA CRS/UBO Declaration									<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1.Self attestation is mandatory.									<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2.Copy of SEBI registration certificate (for FII) or Designated Depository Participant registration certificate (for FPI) should be provided.

3.In case Units are applied in Electronic (Demat) mode.